

Form PTO-1390  
(REV 10-95)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER  
702-001463TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/601490

INTERNATIONAL APPLICATION NO.  
PCT/EP99/00748INTERNATIONAL FILING DATE  
04.02.99 (February 04, 1999)PRIORITY DATES CLAIMED  
04.02.98 and 06.02.98

## TITLE OF INVENTION

IDENTIFICATION, PRODUCTION AND USE OF STAPHYLOKINASE DERIVATIVES  
WITH REDUCED IMMUNOGENICITY AND/OR REDUCED CLEARANCE

## APPLICANT(S) FOR DO/EO/US

Désiré José COLLEN

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
 ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
  - a. WO 99/40198-Front Page with Abstract, Specification, References, Claims and Drawings (100 pp.)
  - b. International Search Report (7 pp.)
  - c. International Preliminary Examination Report and Annex (17 pp.)

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U.S. APPLICATION NO. <b>09/601490</b>		INTERNATIONAL APPLICATION NO. PCT/EP99/00748		ATTORNEY'S DOCKET NUMBER 702-001463	
17. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b> Search Report has been prepared by the EPO or JPO..... \$840.00 International preliminary examination fee paid to USPTO (37 CFR 1.482)..... \$670.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))..... \$690.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$970.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)..... \$96.00  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				CALCULATIONS PTO USE ONLY	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	30 - 20	10	X \$18.00	\$ 180.00	
Independent claims	8 - 3 =	5	X \$78.00	\$ 390.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00	\$ 0.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 1,540.00	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$ 0.00	
<b>SUBTOTAL =</b>				\$ 1,540.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 0.00	
<b>TOTAL NATIONAL FEE =</b>				\$ 1,540.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 0.00	
<b>TOTAL FEES ENCLOSED =</b>				\$ 1,540.00	
				Amount to be: refunded	\$
				charged	\$
a. <input checked="" type="checkbox"/> A check in the amount of <b>\$1,540.00</b> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Assistant Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>23-0650</u> . A duplicate copy of this sheet is enclosed.					
<b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: Barbara E. Johnson 700 Koppers Building 436 Seventh Avenue Pittsburgh, Pennsylvania 15219-1818 Telephone: (412) 471-8815 Facsimile: (412) 471-4094					
				SIGNATURE	\$
				NAME	\$
				31,198	\$
				REGISTRATION NUMBER	

PATENT APPLICATION/PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

ATTORNEY'S DOCKET NUMBER

Désiré José COLLEN

702-001463

PCT/EP99/00748

ENTITLED

"IDENTIFICATION, PRODUCTION AND USE OF  
STAPHYLOKINASE DERIVATIVES WITH REDUCED  
IMMUNOGENICITY AND/OR REDUCED CLEARANCE"

To BOX PCT

Attention: DO/EO/US

Assistant Commissioner for Patents  
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" Label Number EL561552876US

Date of Deposit August 3, 2000

I hereby certify that the following attached paper or fee

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A  
FILING UNDER 35 U.S.C. 371 (original and two (2) copies)  
and check in amount of \$1,540.00 for filing fee  
and Surcharge for late Declaration

is being deposited with the United States Postal Service "Express  
Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on  
the date indicated above and is addressed to the Assistant  
Commissioner for Patents, Washington, D.C. 20231.

Nora Ann Pastrick

(Typed name of person mailing paper or fee)

*Nora Ann Pastrick*

(Signature of person mailing paper or fee)

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I hereby certify that the following attached paper or fee

WO 99/40198 - FRONT PAGE with ABSTRACT (1 p.),  
SPECIFICATION (82 pp.), REFERENCES (4 pp.),  
CLAIMS (5 pp.) and DRAWINGS (8 pp.)

is being deposited with the United States Postal Service "Express  
Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the  
date indicated above and is addressed to the Assistant Commissioner  
for Patents, Washington, D.C. 20231.

Nora Ann Pastrick

(Typed name of person mailing paper or fee)

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I hereby certify that the following attached paper or fee

LETTER RECOGNIZING ATTORNEYS

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Nora Ann Pastrick  
(Typed name of person mailing paper or fee)

Nora Ann Pastrick  
(Signature of person mailing paper or fee)

09/601490

532 PCT/PTC 03 AUG 2000

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Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

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Date of Deposit August 3, 2000

I hereby certify that the following attached paper or fee

PRELIMINARY AMENDMENT with ABSTRACT

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Nora Ann Pastrick

(Typed name of person mailing paper or fee)

*Nora Ann Pastrick*

(Signature of person mailing paper or fee)

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Assistant Commissioner for Patents  
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" Label Number EL561552876US

Date of Deposit August 3, 2000

I hereby certify that the following attached paper or fee

INTERNATIONAL SEARCH REPORT (7 pp.)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Nora Ann Pastrick

(Typed name of person mailing paper or fee)

*Nora Ann Pastrick*

(Signature of person mailing paper or fee)

Nora Ann Lasterick  
(Signature of person mailing paper or fee)